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INFORMATION DISCLOSURE STATEMENT BY APPLICANTS (use as many sheets as necessary)		Complete if Known	
		Application Number Filing Date First Named Inventor Group Art Unit Examiner Name Attorney Docket Number	09/898,850 July 3, 2001 Ungerboeck 2634 D.V. Ha 13226US02
Sheet	1	of	2

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
DL		5,140,417	08.1992	Tanaka	
DL		5,253,078	10.1993	Balkanski et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
DL		T.M. Cover, et al., <u>Elements of Information Theory</u> , Wiley Series in Telecommunications, A Wiley-Interscience Publication, 1991, pgs. 1-542
DL		J.M. Wozencraft et al., <u>Principles of Communication Engineering</u> , Chapter 6-"Implementation of Coded Systems," John Wiley & Sons, Inc., 1965, pgs. 363-484
DL		D.A. Huffman, "A Method for the construction of minimum-redundancy codes," Proc. IRE, vol. 40, 1952, pgs. 1098-1101
DL		M. Tomlinson, "New automatic equalizer employing modulo arithmetic," Electron. Lett., vol. 7, March 1971, pgs. 138-139
DL		G. D. Forney, Jr., "Trellis shaping," IEEE Trans. Inform. Theory, vol. 38, March 1992, pgs. 281-300
DL		P. Fortier, et al., "Multidimensional signal sets through the shell construction for parallel channels," IEEE Trans. Commun., vol. 40, March 1992, pgs. 500-512
DL		A. K. Khandani et al., "Shaping multidimensional signal spaces— Part I: Optimum shaping, shell mapping," IEEE Trans. Inform. Theory, vol. 39, November 1993, pgs. 1799-1808
DL		G. R. Lang et al., "A Leech lattice modem," IEEE J. Select. Areas Commun., vol. 7, August 1989, pgs. 968-973
DL		G. Ungerboeck et al., Broadcom Corporation, "Coding for V.90 Issue 2," TR-30.1/99-11-064R1, Telecommunications Industry Association, Clearwater Beach, FL, November 29, 1999

EXAMINER SIGNATURE		DATE CONSIDERED	05/11/06
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Sheet	2	of	2		

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
DA		G. Ungerboeck, "Channel Coding with Multilevel/Phase Signals," IEEE Transactions on Information Theory, vol. IT-28, no. 1, January 1982, pgs. 55-67
DA		G. Ungerboeck, "Trellis-coded Modulation with Redundant Signal Sets, Part 1: Introduction," IEEE Communications Magazine, Vol. 25, No. 2, February 1987, pgs. 5-11
DA		H. Harashima et al., "Marched-transmission technique for channels with intersymbol interference," IEEE Trans. Commun., vol. COM-20, August 1972, pgs. 774-780
DA		R. Laroia, N. Farvardin and S. Tretter, "On optimal shaping of multi-dimensional constellations," IEEE Trans. Inform. Theory, vol. 40, July 1994, pgs. 1044-1056
DA		G.D. Forney, et al., "Modulation and coding for linear Gaussian channels," IEEE Trans. Inform. Theory, vol.44, No. 6, October 1998, pgs. 2384-2415
DA		G.D. Forney, et al., "Multidimensional constellations - Part 1: Introduction, figures of merit, and generalized cross constellations," IEEE J. Select. Areas Commun., vol. 7, No. 6, August 1989, pgs. 877-892
DA		ITU-T Recommendation V.90 (09/98)
DA		ITU-T Recommendation V.32 (02/98)

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